

# High-Temperature Non-Equilibrium CO<sub>2</sub> Kinetic and Radiation Processes

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**ipfn**

INSTITUTO DE PLASMAS  
E FUSÃO NUCLEAR



Image credit: JPL, [jpl.nasa.gov](http://jpl.nasa.gov)

- Exploration: 6 missions launched in 2020, 3 to land in 2021



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- Exploration: 6 missions launched in 2020, 3 to land in 2021
- Mars is a challenging planet to land: Thin atmosphere, mostly composed of  $\text{CO}_2$
- Need to account for convective and radiative heating

# Heatshield design

Relevance of  $\text{CO}_2$  IR radiative heating only recognized in recent years

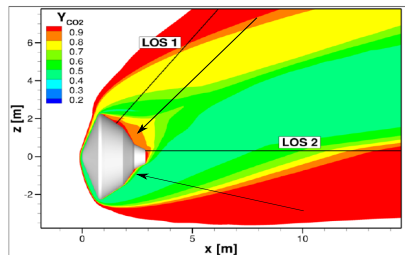


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Radiation and kinetics are tightly coupled in atmospheric entry flows

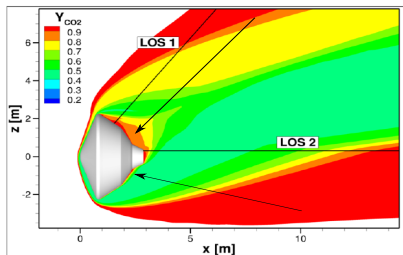


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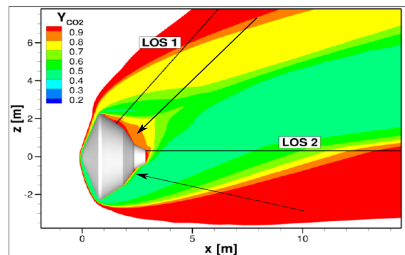


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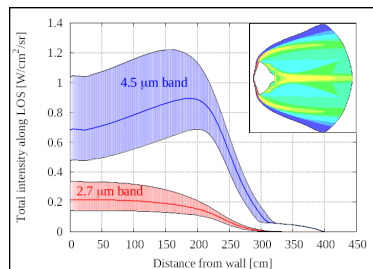


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Detailed models are required which can be translated to engineering design tools

State-to-State models potentially provide the greatest levels of detail

Macroscopic:

- Assumed internal distribution characterized by a temperature



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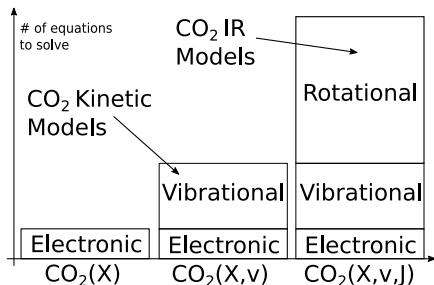
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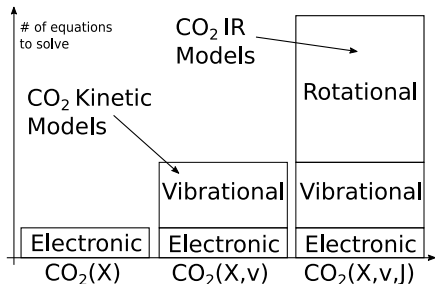


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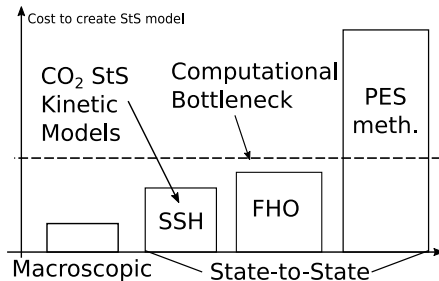
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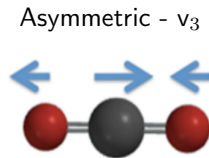
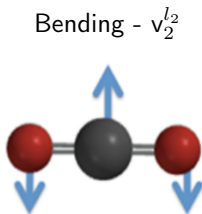
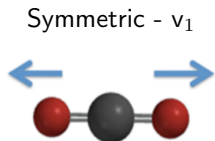
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**This presentation will showcase new models that curtail these shortcomings**

- 1 Introduction
- 2 Kinetics
  - Test Cases
- 3 Radiation
  - Test Cases
- 4 Conclusions

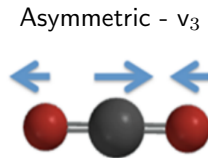
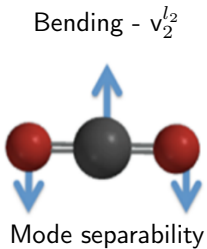
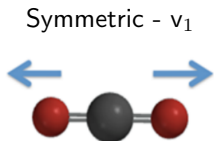
# Kinetics

# CO<sub>2</sub> Kinetic Modelling - Assumptions

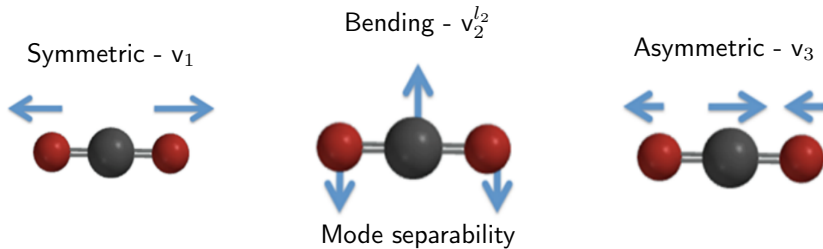




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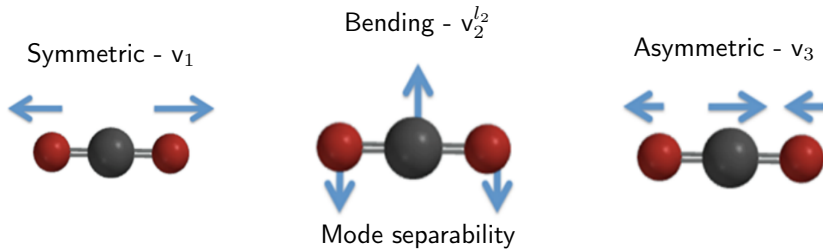


No Potential Energy Surface (PES) of CO<sub>2</sub> accurate up to dissociation. Each mode breaks apart in different ways.

- $v_1$ : CO<sub>2</sub> + 18.53 eV → C + O + O
- $v_2$ : CO<sub>2</sub> + 11.45 eV → C + O<sub>2</sub>
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Consider only extreme states

$$\text{Extreme states} = \begin{cases} v_1 0^0 0 \\ 0 v_2^{l_2} 0 \\ 0 0^0 v_3 \end{cases}$$

$$\text{Mixed states} = v_1 v_2^{l_2} v_3$$

Also limit  $v_2 = l_2$ , otherwise computationally untenable

# Forced Harmonic Oscillator

The Forced Harmonic Oscillator (FHO) - 1D collision geometry, generalized to 3D with steric factors



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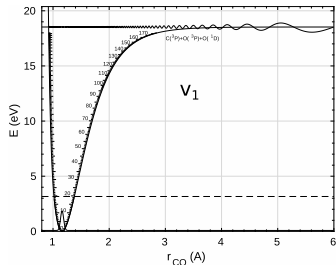
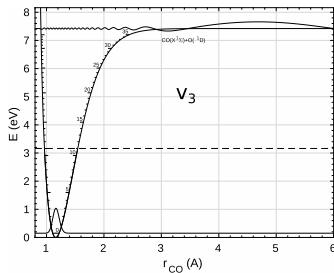
The Forced Harmonic Oscillator (FHO) - 1D collision geometry, generalized to 3D with steric factors



- Physically consistent extrapolation
- Handles multi quantum jumps
- CO<sub>2</sub>(X) and CO<sub>2</sub>(B) modeled through FHO

# Ground State Level Manifold

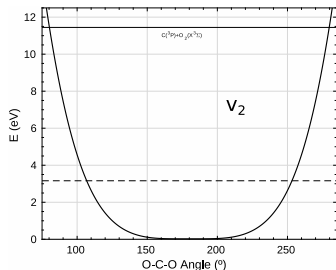
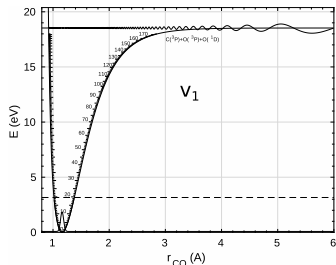
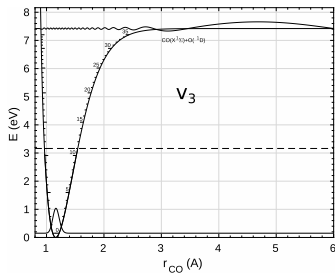
- Low lying levels are obtained through Chédin (1984) polynomial expansion
- Sym. and Asym. stretch high lying levels are obtained through Schrödinger's equation
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## $^3B_2$ Level Manifold

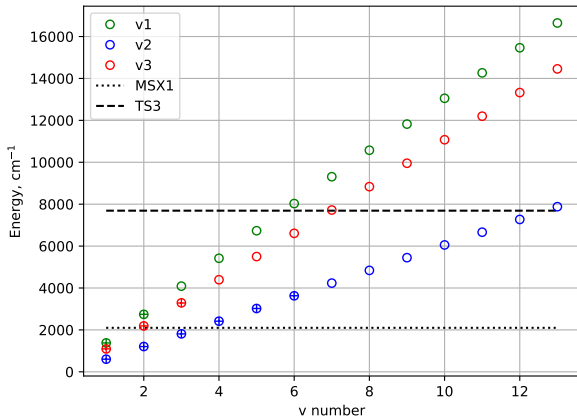
In Grebenshchikov (2017) some vib. levels of  $CO_2(^3B_2)$  are tabulated. These are used to obtain the coefficients of the polynomial expression:

$$E(v_1, v_2, v_3) = \sum_{i=1,2,3} \omega_i v_i + \sum_{i=1,2,3} x_{ii} v_i^2 + x_{12} v_1 v_2 + x_{13} v_1 v_3 + x_{23} v_2 v_3$$

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MSX1 - Crossing energy between ground and  $^3B_2$

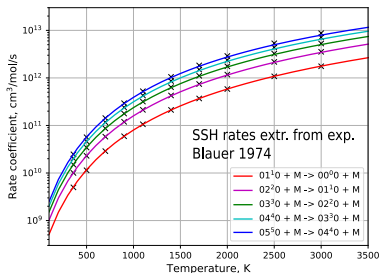
TS3 - Dissociation energy of  $^3B_2$

## Including the first reactions

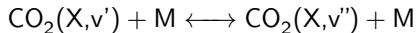
- Obtain semi-empirical parameters to fit FHO to known rates
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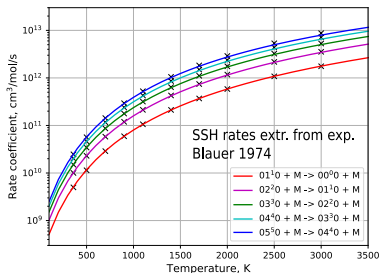
## Vibration-Translation



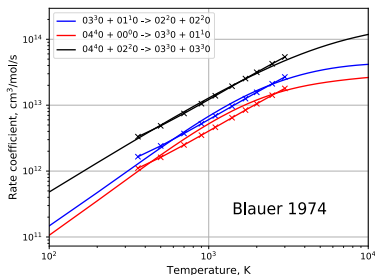
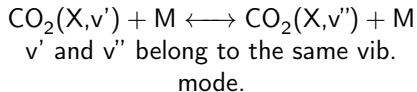
$\nu'$  and  $\nu''$  belong to the same vib. mode.

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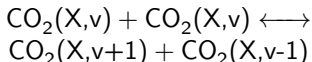
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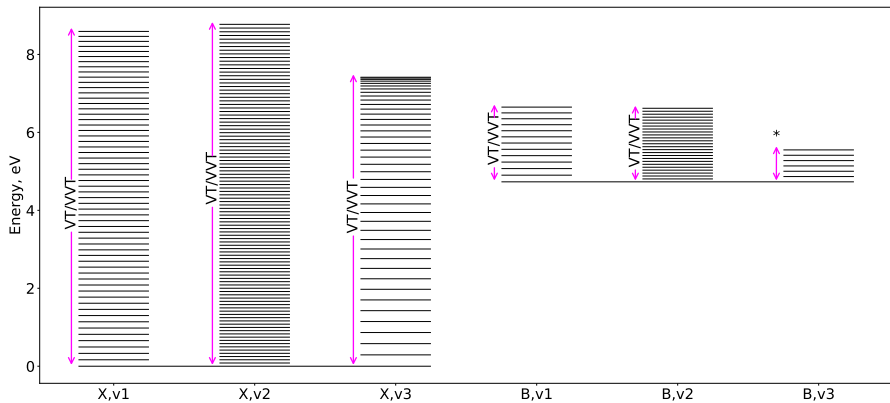
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### Vibration-Vibration-Translation



# Model Schematic

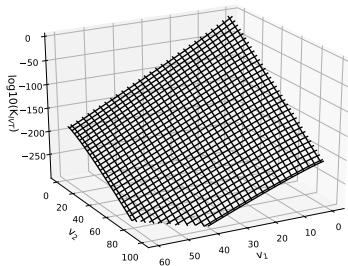


## Including inter-mode (IVT) energy exchanges

$$P_{IVT} = P_{VT}(v_i \rightarrow 0)P_{VT}(0 \rightarrow v_f)$$

$v_i$  and  $v_f$  are from different vibrational modes.

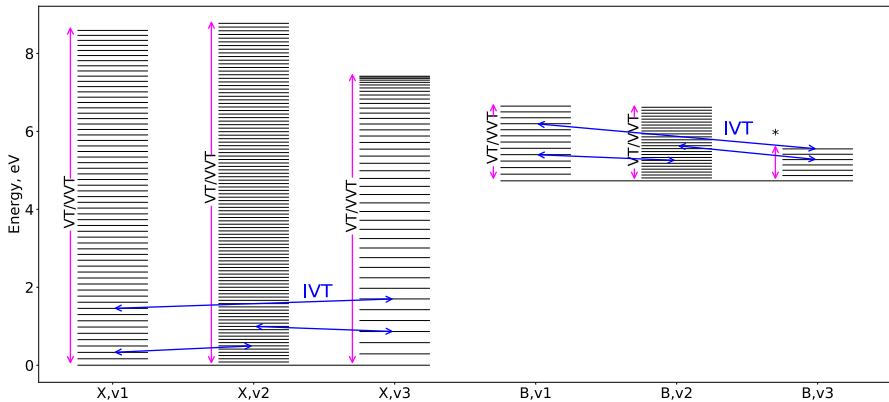
The product of probabilities makes  $P_{IVT} \rightarrow 0$  when  $v_i$  or  $v_f$  grows



$\log_{10}(K_{IVT})$  at 5,000 K



# Model Schematic



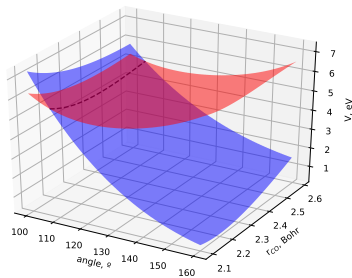
# Singlet-Triplet Interaction

Including the interaction between  $\text{CO}_2(\text{X})$  and  $\text{CO}_2(\text{B})$ .

- The ground and triplet state cross
- The crossing point cannot be accurately determined
- Approximate region indicates the crossing is dominated by the ground state bending mode

Crossing is modeled through Rosen-Zener theory.

\*Upper figure is illustrative



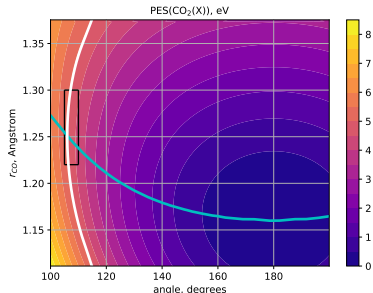
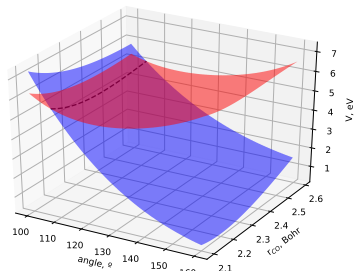
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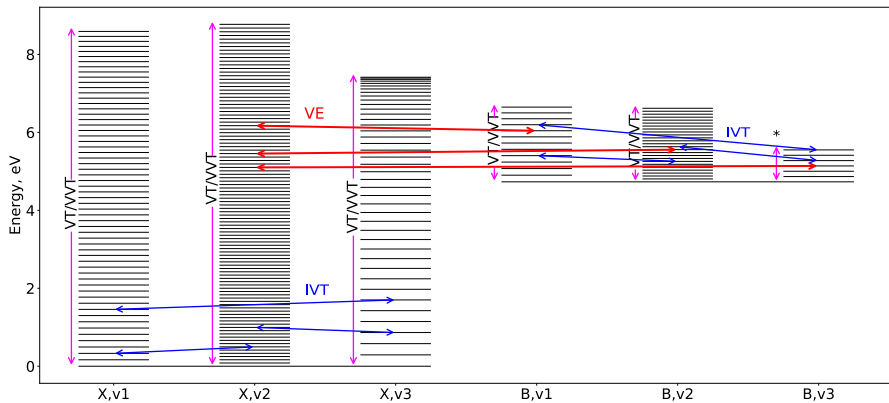
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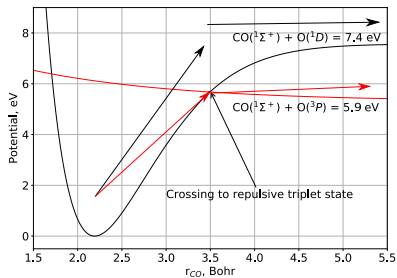
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# Model Schematic



# Pathways to dissociation

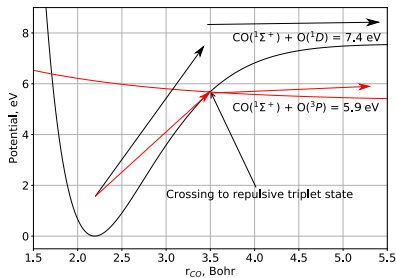


\*Red line empirical potential

## Three pathways for dissociation

- Ladder climbing dissociation is possible for the ground and triplet state

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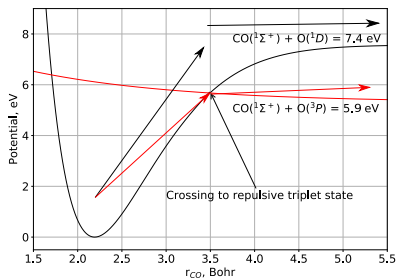


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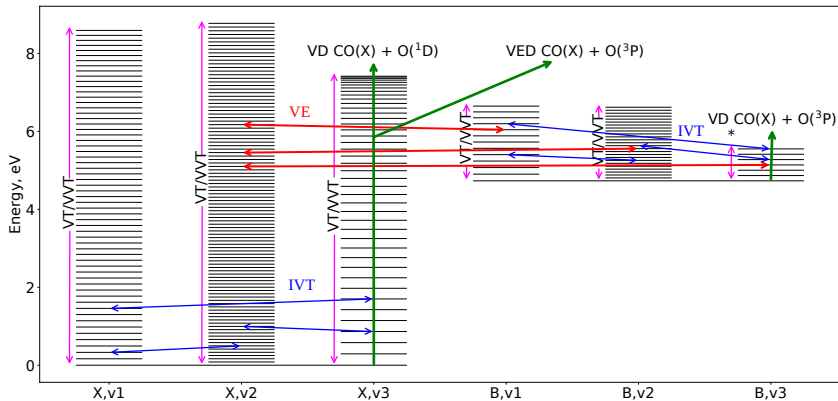


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## Three pathways for dissociation

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- First two paths can be modelled using FHO, the third one through Rosen-Zener theory

# Model Schematic





# Exchange Reactions

The exchange reaction  $\text{CO}_2 + \text{O} \longleftrightarrow \text{CO} + \text{O}_2$  should be included. Dean (1973) observed increased  $\text{CO}_2$  dissociation with increased presence of O atoms.

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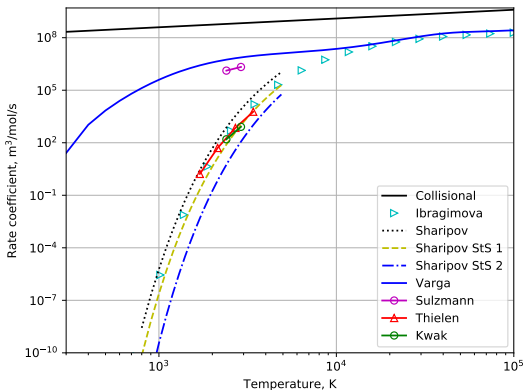
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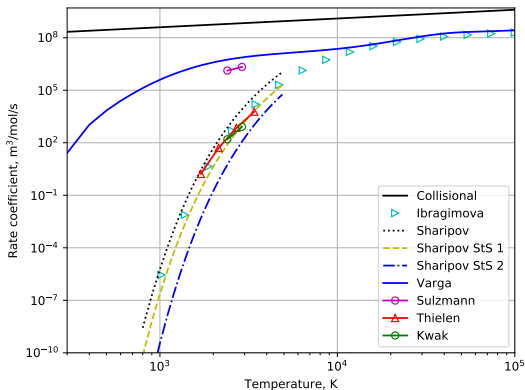
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A set of state to state  $\text{CO}_2(X,v) + \text{O} \longleftrightarrow \text{CO} + \text{O}_2$  reaction rates is included.

The set of reactions in Cruden *et al.* (2018) is also included.

Reaction	$A$ (m <sup>3</sup> /mol/s)	$n$	$E_a$ (K)
$\text{CO} + \text{M} \longleftrightarrow \text{C} + \text{O} + \text{M}$	7.99E+32	-5.50	1.29E+5
$\text{C}_2 + \text{O} \longleftrightarrow \text{CO} + \text{C}$	3.61E+08	0.00	0.0E+0
$\text{C}_2 + \text{M} \longleftrightarrow \text{C} + \text{C} + \text{M}$	1.82E+09	0.00	6.40E+4
$\text{CO} + \text{O} \longleftrightarrow \text{C} + \text{O}_2$	3.90E+07	-0.18	6.92E+4
$\text{O}_2 + \text{M} \longleftrightarrow \text{O} + \text{O} + \text{M}$	1.20E+08	0.00	5.42E+4
$\text{C} + \text{e}^- \longleftrightarrow \text{C}^+ + \text{e}^- + \text{e}^-$	3.70E+25	-3.00	1.30E+5
$\text{O} + \text{e}^- \longleftrightarrow \text{O}^+ + \text{e}^- + \text{e}^-$	3.90E+27	-3.78	1.58E+5
$\text{CO} + \text{e}^- \longleftrightarrow \text{CO}^+ + \text{e}^- + \text{e}^-$	4.50E+08	2.75	1.63E+5
$\text{O}_2 + \text{e}^- \longleftrightarrow \text{O}_2^+ + \text{e}^- + \text{e}^-$	2.19E+04	1.16	1.30E+5
$\text{C} + \text{O} \longleftrightarrow \text{CO}^+ + \text{e}^-$	8.80E+02	1.00	3.31E+4
$\text{CO} + \text{C}^+ \longleftrightarrow \text{CO}^+ + \text{C}$	1.10E+07	0.00	3.14E+4
$\text{O} + \text{O} \longleftrightarrow \text{O}_2^+ + \text{e}^-$	7.10E-04	2.70	8.06E+4
$\text{O}_2 + \text{C}^+ \longleftrightarrow \text{O}_2^+ + \text{C}$	1.00E+07	0.00	9.40E+3
$\text{O}_2^+ + \text{O} \longleftrightarrow \text{O}_2 + \text{O}^+$	2.19E+04	1.16	1.30E+5

Set of reactions calibrated against EAST experiments

# Summary

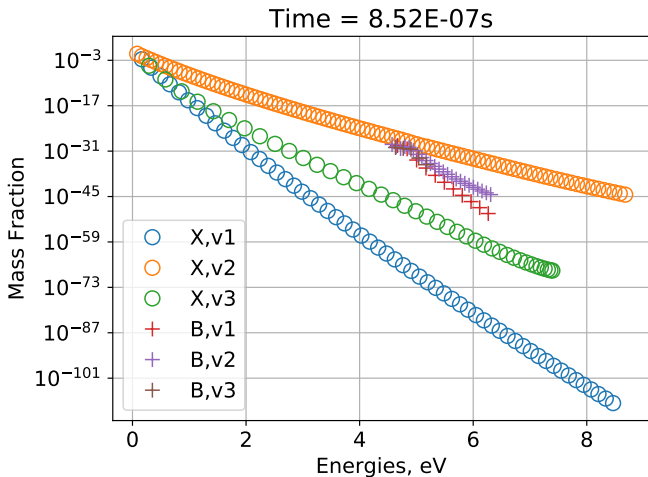
Name	Type	#Reac.
$\text{CO}_2(\text{X},v'_1) + \text{M} \leftrightarrow \text{CO}_2(\text{X},v''_1) + \text{M}$	VT	1770
$\text{CO}_2(\text{X},v'_2) + \text{M} \leftrightarrow \text{CO}_2(\text{X},v''_2) + \text{M}$	VT	5050
$\text{CO}_2(\text{X},v'_3) + \text{M} \leftrightarrow \text{CO}_2(\text{X},v''_3) + \text{M}$	VT	861
$\text{CO}_2(\text{X},v'_1) + \text{CO}_2(\text{X},v'_1) \leftrightarrow \text{CO}_2(\text{X},v'_1+1) + \text{CO}_2(\text{X},v'_1-1)$	VVT	58
$\text{CO}_2(\text{X},v'_2) + \text{CO}_2(\text{X},v'_2) \leftrightarrow \text{CO}_2(\text{X},v'_2+1) + \text{CO}_2(\text{X},v'_2-1)$	VVT	99
$\text{CO}_2(\text{X},v'_3) + \text{CO}_2(\text{X},v'_3) \leftrightarrow \text{CO}_2(\text{X},v'_3+1) + \text{CO}_2(\text{X},v'_3-1)$	VVT	41
$\text{CO}_2(\text{X},v'_1) + \text{M} \leftrightarrow \text{CO}_2(\text{X},v''_1) + \text{M}$	IVT	5900
$\text{CO}_2(\text{X},v'_2) + \text{M} \leftrightarrow \text{CO}_2(\text{X},v''_2) + \text{M}$	IVT	2478
$\text{CO}_2(\text{X},v'_3) + \text{M} \leftrightarrow \text{CO}_2(\text{X},v''_3) + \text{M}$	IVT	4200
$\text{CO}_2(\text{B},v'_1) + \text{M} \leftrightarrow \text{CO}_2(\text{B},v''_1) + \text{M}$	VT	78
$\text{CO}_2(\text{B},v'_2) + \text{M} \leftrightarrow \text{CO}_2(\text{B},v''_2) + \text{M}$	VT	325
$\text{CO}_2(\text{B},v'_3) + \text{M} \leftrightarrow \text{CO}_2(\text{B},v''_3) + \text{M}$	VT	21
$\text{CO}_2(\text{B},v'_1) + \text{CO}_2(\text{B},v'_1) \leftrightarrow \text{CO}_2(\text{B},v'_1+1) + \text{CO}_2(\text{B},v'_1-1)$	VVT	11
$\text{CO}_2(\text{B},v'_2) + \text{CO}_2(\text{B},v'_2) \leftrightarrow \text{CO}_2(\text{B},v'_2+1) + \text{CO}_2(\text{B},v'_2-1)$	VVT	24
$\text{CO}_2(\text{B},v'_3) + \text{CO}_2(\text{B},v'_3) \leftrightarrow \text{CO}_2(\text{B},v'_3+1) + \text{CO}_2(\text{B},v'_3-1)$	VVT	6
$\text{CO}_2(\text{B},v'_1) + \text{M} \leftrightarrow \text{CO}_2(\text{B},v''_1) + \text{M}$	IVT	300
$\text{CO}_2(\text{B},v'_2) + \text{M} \leftrightarrow \text{CO}_2(\text{B},v''_2) + \text{M}$	IVT	84
$\text{CO}_2(\text{B},v'_3) + \text{M} \leftrightarrow \text{CO}_2(\text{B},v''_3) + \text{M}$	IVT	175
$\text{CO}_2(\text{X},v'_2) + \text{M} \leftrightarrow \text{CO}_2(\text{B},v''_2) + \text{M}$	VE	103
$\text{CO}_2(\text{X},v'_2) + \text{M} \leftrightarrow \text{CO}_2(\text{B},v''_2) + \text{M}$	VE	311
$\text{CO}_2(\text{X},v'_2) + \text{M} \leftrightarrow \text{CO}_2(\text{B},v''_3) + \text{M}$	VE	163
$\text{CO}_2(\text{X},v'_3) + \text{M} \leftrightarrow \text{CO} + \text{O}({}^1D) + \text{M}$	VD	42
$\text{CO}_2(\text{X},v'_3) + \text{M} \leftrightarrow \text{CO} + \text{O}({}^3P) + \text{M}$	VD	42
$\text{CO}_2(\text{B},v'_3) + \text{M} \leftrightarrow \text{CO} + \text{O}({}^3P) + \text{M}$	VD	7
$\text{CO}_2(\text{X},v'_{1,2,3}) + \text{O}({}^3P) \leftrightarrow \text{CO} + \text{O}_2$	Zeldov.	201
$\text{CO}_2(\text{X},v'_{1,2,3}) + \text{C} \leftrightarrow \text{CO} + \text{CO}$	Zeldov.	201
$\text{O}({}^1D) + \text{M} \leftrightarrow \text{O}({}^3P) + \text{M}$	Quench.	4

A total of 22566 reactions (with only extreme states)

# Test Cases

# Case 1: Isothermal

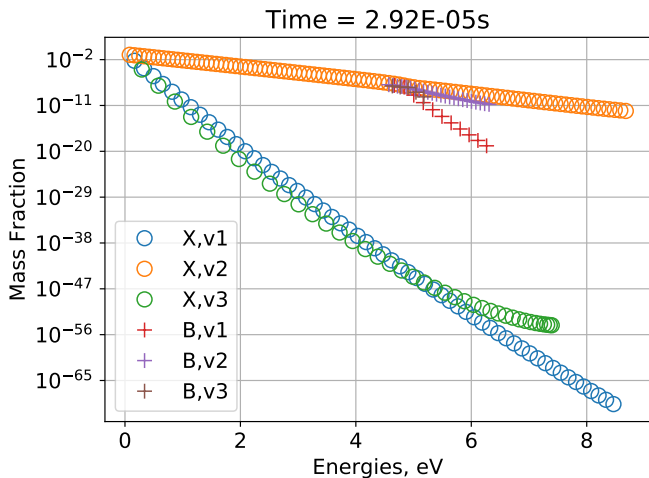
0D Isotherm. in pure CO<sub>2</sub> (no dissociation),  $T_v = 300$  K and 2 kPa,  $T_g = 10,000$  K





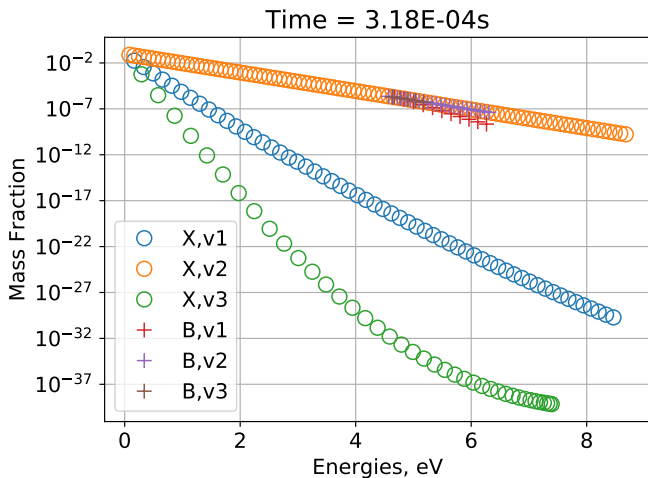
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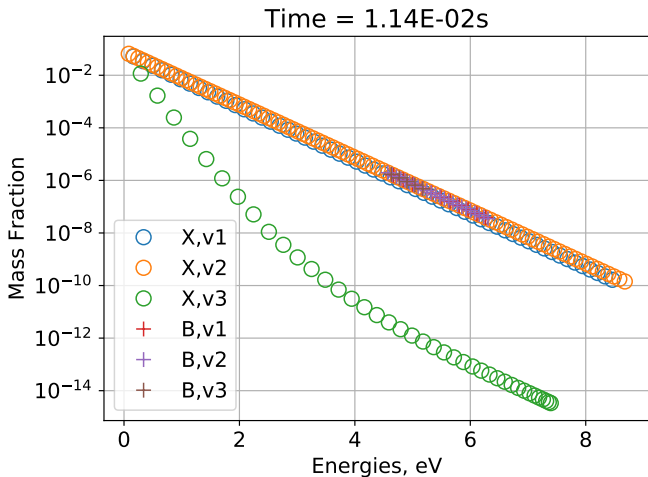
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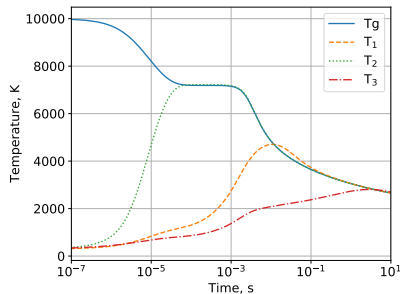
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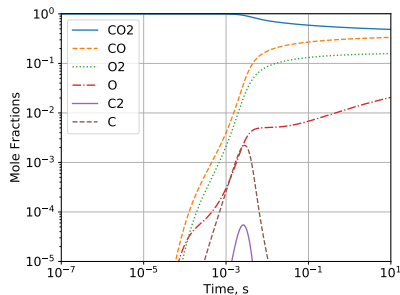
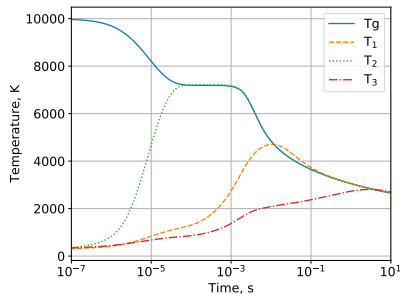
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Dissociated 1000K  $\text{CO}_2$  gas at 1 bar



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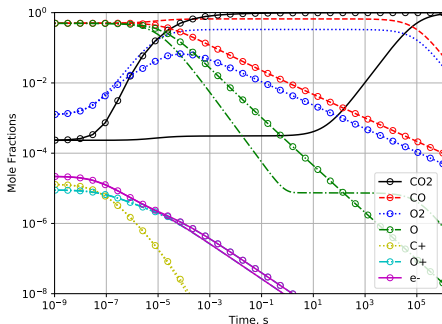
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- Analogous to a recombination exp.
- Typical recomb. time scale in exp. measurements is *ms*
- Depending on the chosen  
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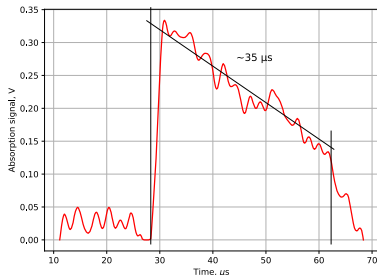


— Ibragimova rate

—○— Varga rate

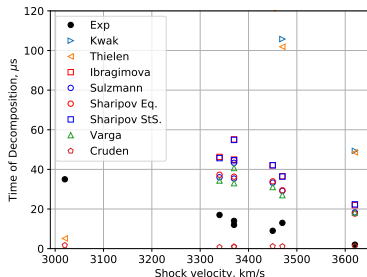
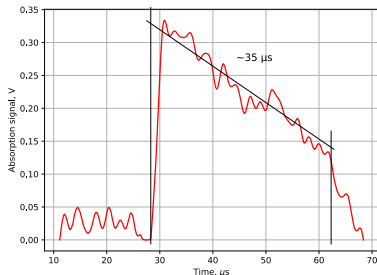
# Case 4: CO<sub>2</sub> Decomposition Time

- Shots in VUT-1 shock tube at MIPT (Moscow, Russia)
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- Typical time scale 1-40  $\mu\text{s}$
- Macroscopic model always predicts  $< 2\mu\text{s}$
- StS model provides correct shock-velocity trends and overpredicts decomposition times by 50-100%

# Radiation

CDSD4000 will be used to refit a vibrationally specific CO<sub>2</sub> IR database

Dubbed CDSDv, this database will feature:

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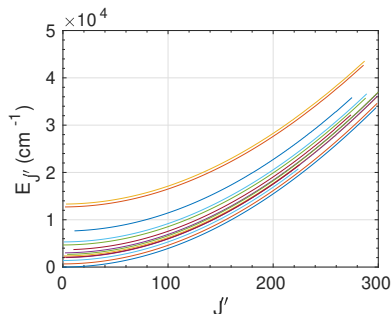
- Reconstruction of ro-vibrational data
- Will lose detail: not suitable for detailed spectroscopy, perturbations will not be accounted for.

Fit ro-vibrational energy levels:

$$E_{vJ} = G_v + B_v[J(J + 1)] - D_v[J(J + 1)]^2 + H_v[J(J + 1)]^3$$

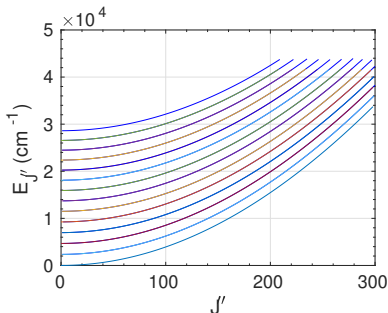
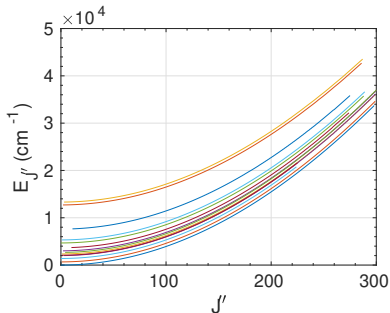
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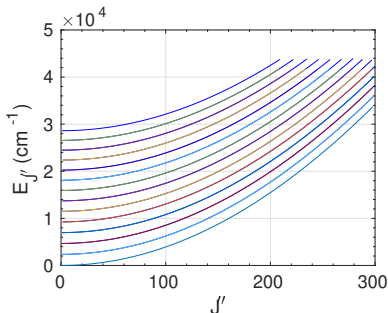
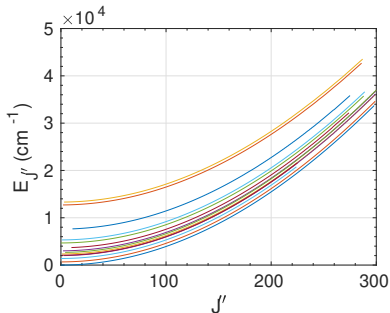
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Extrapolation of  $J$  to 300 (or 301) or to  $E_{\text{diss}}$ .

We now have a levels database.

$$A_{v''}^{v'} \times F_{J',J''} = \frac{A_{v''J''}^{v'J'}}{S_{l''J''}^{l'J'}}$$

- $A_{v''}^{v'}$  –Vibrational Einstein coefficients
- $F_{J',J''}$  –Herman-Wallis factors
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Each transition is fitted for every branch “simultaneously”

# Transition Fitting

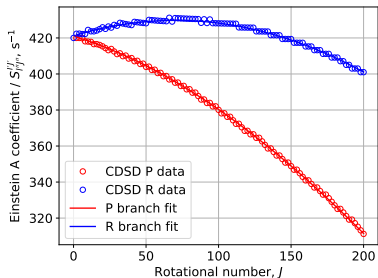
Some examples of transition fitting.

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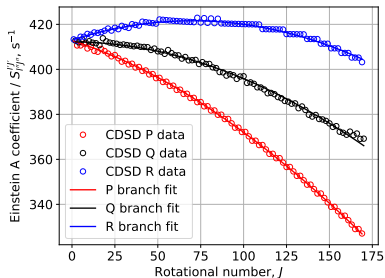
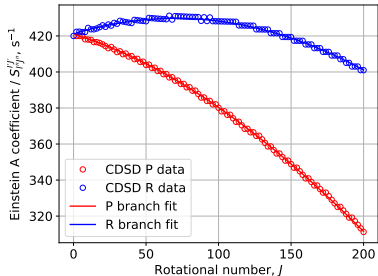
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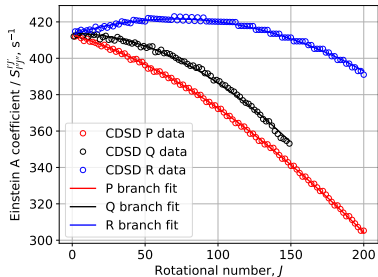
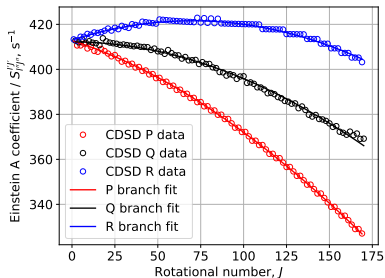
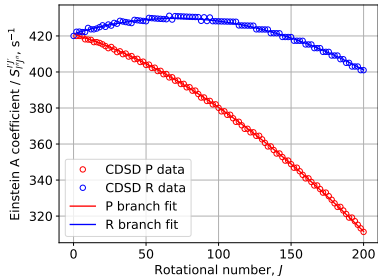
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Comparison with CDSD4000 and  
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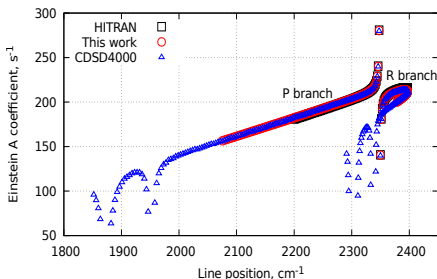
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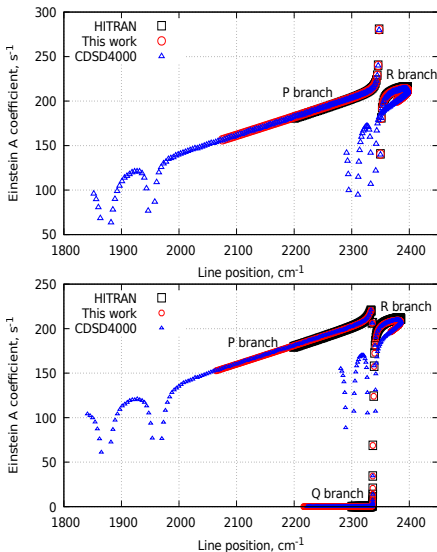


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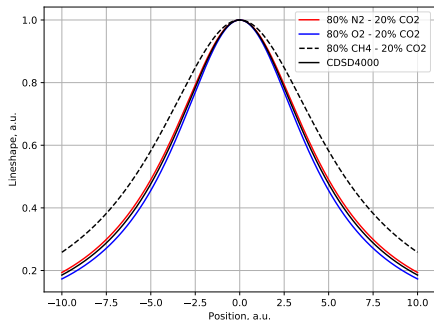
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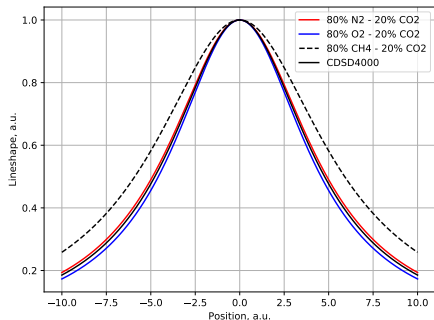
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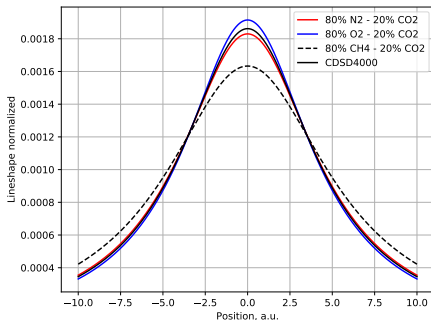
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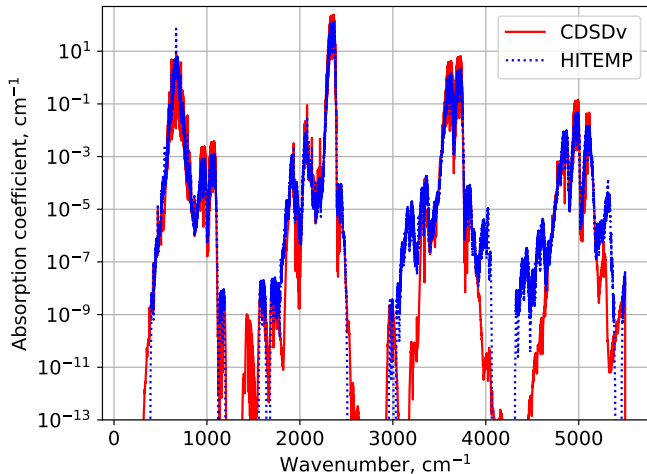
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$T = 298$  K and  $p = 50$  atm

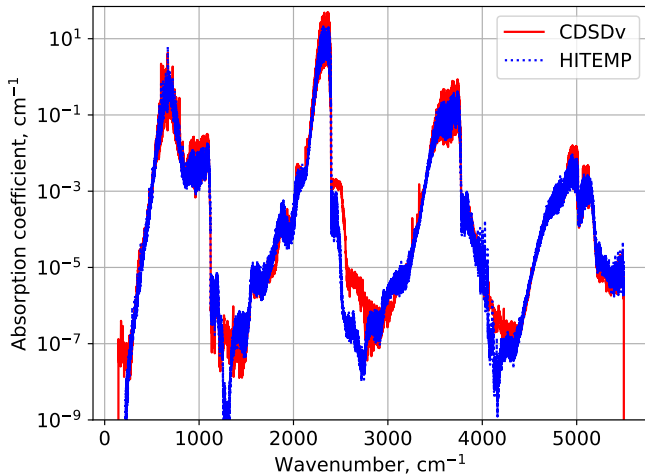
# Absorption Coefficient

296K



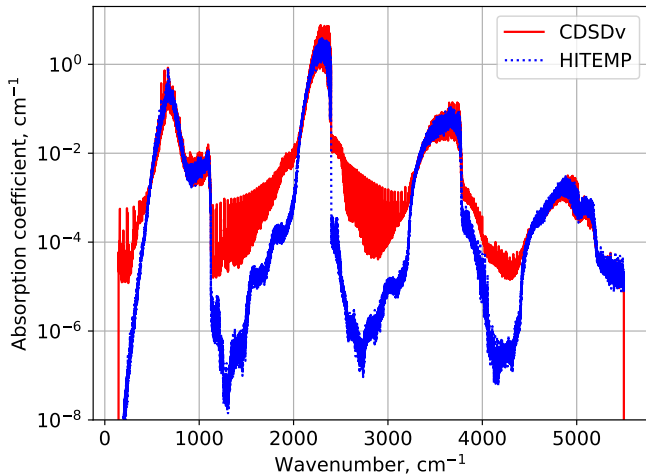
# Absorption Coefficient

1000K



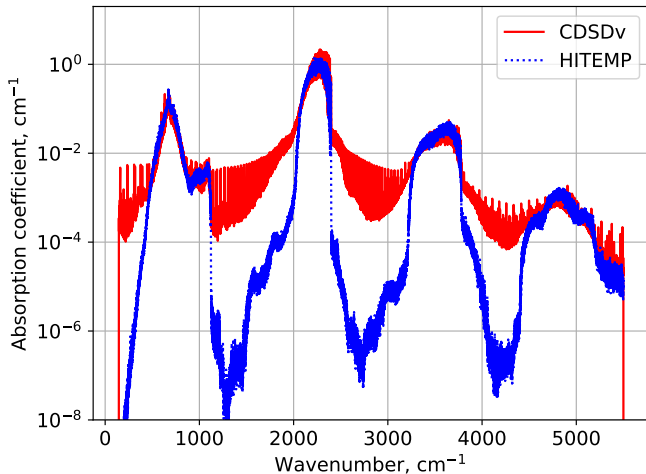
# Absorption Coefficient

2000K



# Absorption Coefficient

3000K





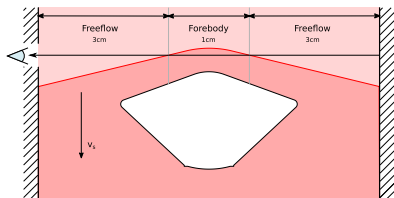
# Test Cases

# Case 1: JAXA Mars Entry

Measurements of IR radiation were carried out in JAXA facility by Takaynagi *et al.* (2018). Pannier and Laux (2019) performed a numerical analysis repeated here.

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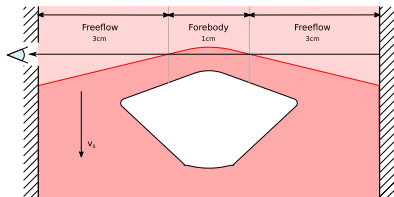
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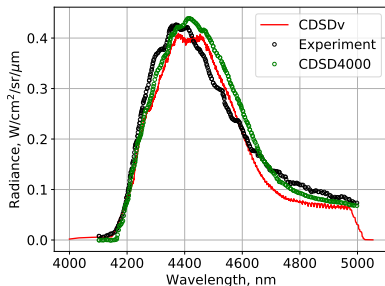
- 4.3  $\mu\text{m}$  region
- Line of sight 7 cm long simplified into 3 zones
- 1st and 3rd cell are free flow zones, low pressure, non-eq.
- 2nd cell is the forebody cell, high pressure and temperature, no  $\text{CO}_2$

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Measurements of IR radiation were carried out in JAXA facility by Takaynagi *et al.* (2018). Pannier and Laux (2019) performed a numerical analysis repeated here.



- 4.3  $\mu\text{m}$  region
- Line of sight 7 cm long simplified into 3 zones
- 1st and 3rd cell are free flow zones, low pressure, non-eq.
- 2nd cell is the forebody cell, high pressure and temperature, no  $\text{CO}_2$



Very good agreement

## Case 2: EAST MSL Entry

Campaign at EAST - Mars Science  
Laboratory conditions

- Shock at 3.69 km/s
- 1 Torr, 97% CO<sub>2</sub>
- 4.3  $\mu\text{m}$  spectral region
- Peak Temperature at 3050 K

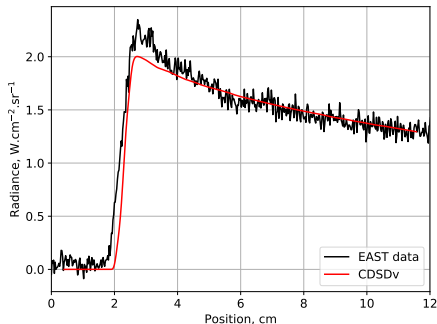
Simulation profile kindly shared by B.  
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## Case 3: Atmospheric Plasma Torch

CO<sub>2</sub> Atmospheric plasma torch at 1,000–5,000K, work of Depraz *et al.* (2012)

- 2.7 and 4.3  $\mu\text{m}$  regions probed
- Measurements at  $h = 6, 20$  mm
- Torch radial profile is divided into 10 cells

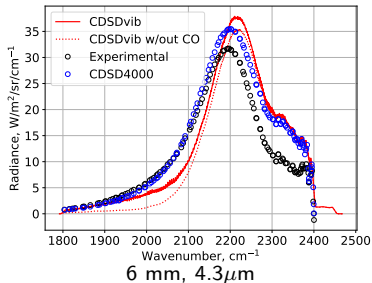
Radiative Transfer with CDSDv + CO in the central chord: line of sight is taken as the full diameter of the torch.

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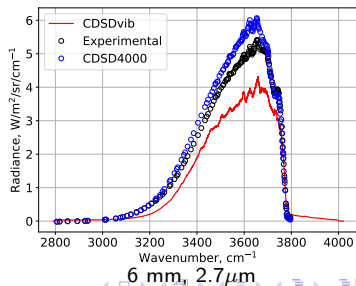
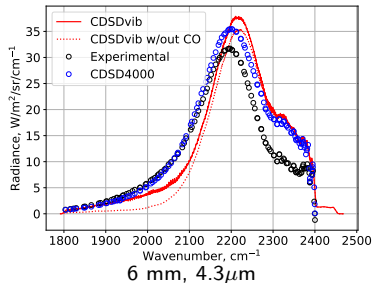


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# Conclusions

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# Final Remarks

There is still a lot of multi-disciplinary work to be done. It is my hope this work can be used as a reference point for further developments.

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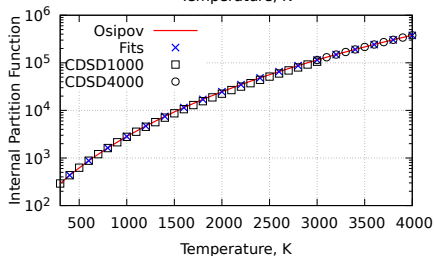
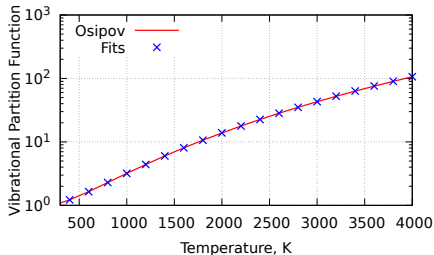
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INSTITUTO DE PLASMAS  
E FUSÃO NUCLEAR



European Space Agency

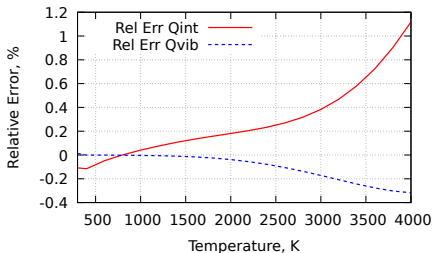


# Partition Function



Partition function:

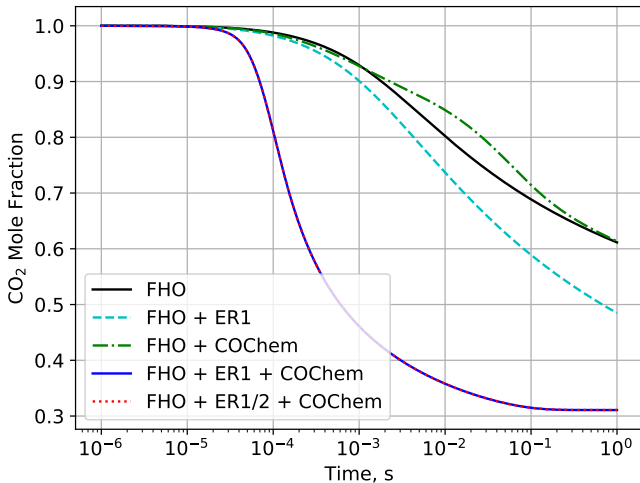
- Can be recovered from level database.
- Matches literature values for most of the temperature range.

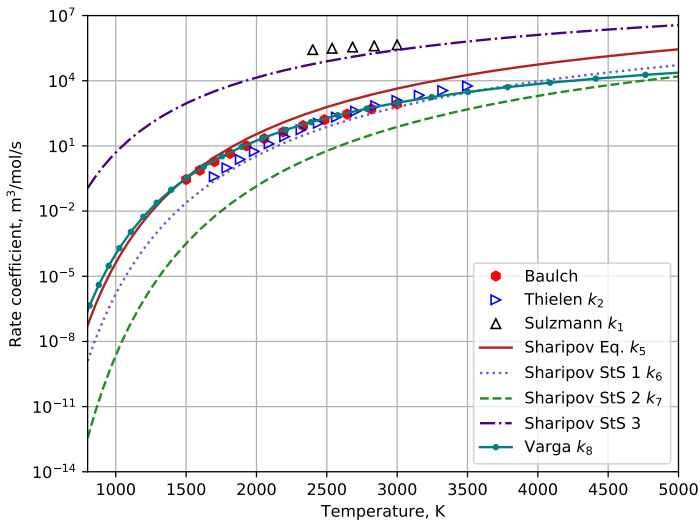


Osipov (2004), Tashkun *et al* (2003) and (2011)

# Dissociation of CO<sub>2</sub>

0D simulation, 3.69 km/s shock, 1 Torr in pure CO<sub>2</sub>





Note that I only performed inversion on Sharipov and Varga rates



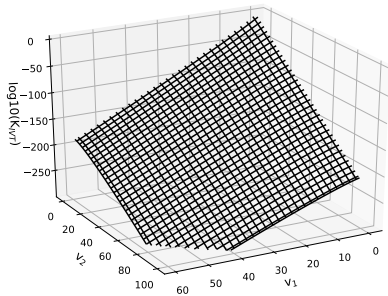
Rates already included:

- $\text{CO}_2(\text{X},\text{v}) + \text{O}({}^3\text{P}) \longleftrightarrow \text{CO}(\text{X}) + \text{O}_2(\text{X})$
- $\text{CO}_2(\text{X},\text{v}) + \text{O}({}^1\text{D}) \longleftrightarrow \text{CO}(\text{X}) + \text{O}_2(\text{X})$
- $\text{CO}_2(\text{X},\text{v}) + \text{C} \longleftrightarrow \text{CO}(\text{X}) + \text{CO}(\text{X})$

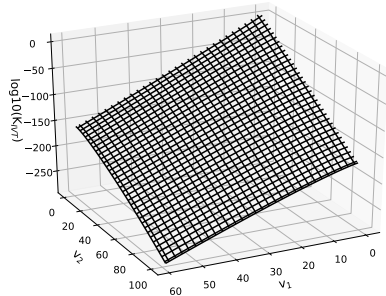
Other candidate rates to include:

- $\text{CO}(\text{a}) + \text{O}_2(\text{X}) \longleftrightarrow \text{CO}_2 + \text{O}({}^3\text{P})$
- $\text{CO}(\text{X}) + \text{O}_2(\text{a}) \longleftrightarrow \text{CO}_2 + \text{O}({}^1\text{D})$
- $\text{O}_2(\text{a}) + \text{M} \longleftrightarrow \text{O}_2(\text{b}) + \text{M}$
- $\text{O}_2(\text{b}) + \text{O}_2(\text{X}) \longleftrightarrow \text{O}_2(\text{a}) + \text{O}_2(\text{a})$
- $\text{CO}(\text{a}) + \text{CO} \longleftrightarrow \text{CO}_2 + \text{C}$



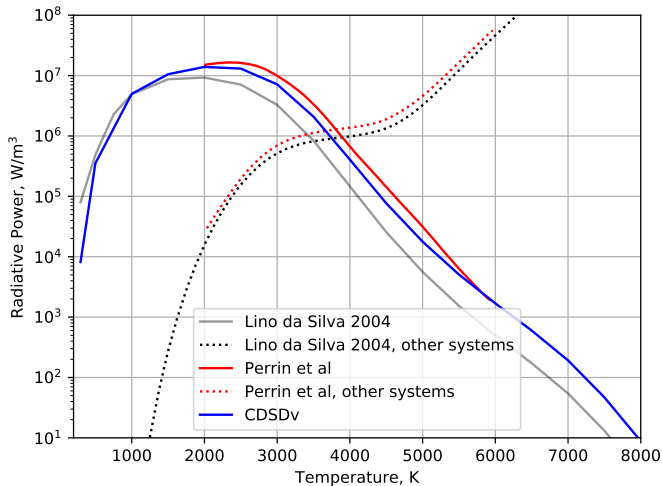


5,000 K



10,000 K

# Radiative Power



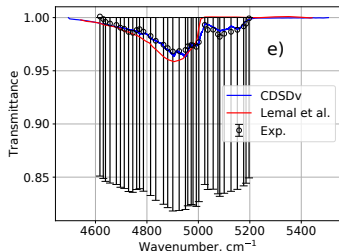
# Performance

# Parameters Range	1 Tight 2100-2500		2 Lax 2000-2500	
	Database	CDSv	CDS4000	CDSv
Time 1 (s)	30.98	85.18	20.11	174.95
Time 2 (s)	329.58	356.25	145.11	341.63
Time 3 (s)	2855.43	3891.64	1007.92	1773.45
Max RAM	446.3 MiB	3.830 GiB	607.75 MiB	7.985 GiB
# Lines	4,266,280	37,497,133	5,867,324	81,963,950

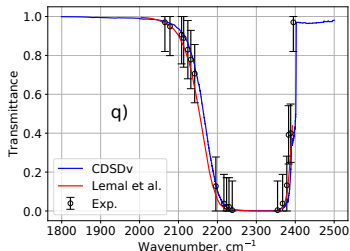
  

# Parameters Range	3 Lax 2100-2500		4 Tight 2000-2500	
	Database	CDSv	CDS4000	CDSv
Time 1 (s)	17.09	65.53	39.95	181.62
Time 2 (s)	124.82	183.89	574.38	738.41
Time 3 (s)	613.40	791.06	6846.50	12253.16
Max RAM	442.7 MiB	3.660 GiB	608.14 MiB	7.963 GiB
# Lines	4,266,280	37,497,133	5,867,324	81,963,950

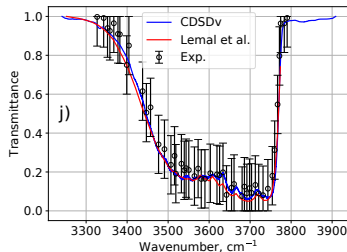
# Some Transmittance Results



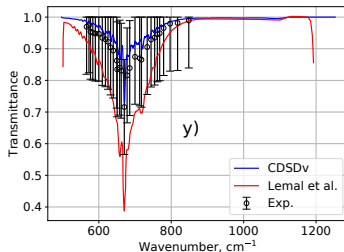
2.3  $\mu\text{m}$ ,  $T = 1550 \text{ K}$



4.3  $\mu\text{m}$ ,  $T = 1500 \text{ K}$

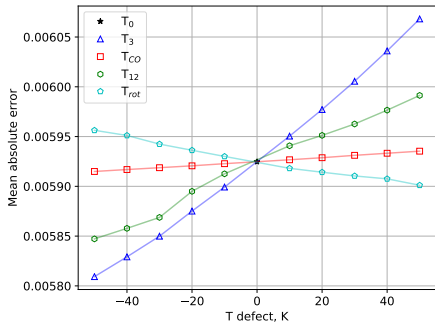
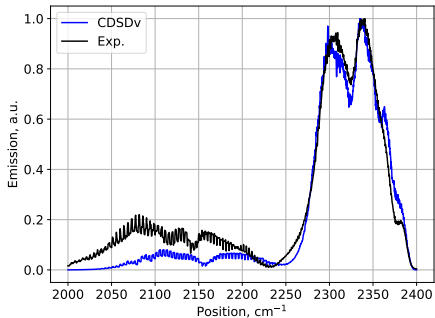


2.7  $\mu\text{m}$ ,  $T = 1300 \text{ K}$

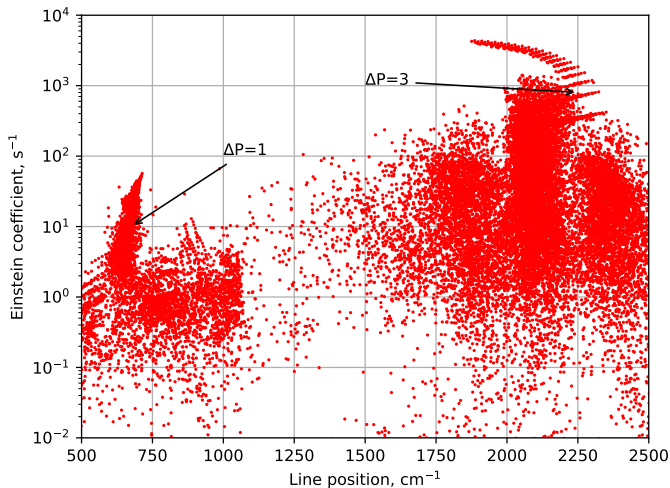


15  $\mu\text{m}$ ,  $T = 2300 \text{ K}$

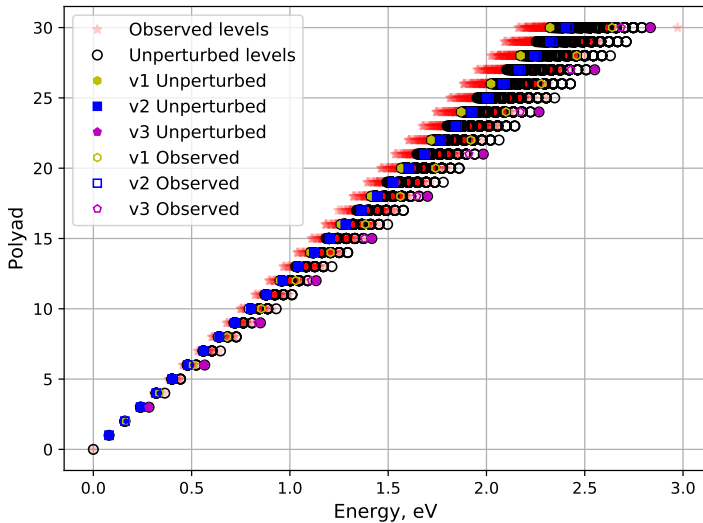
# Glow Discharge



# Einstein Coefficients



# Polyads



# Prospective Shock-Tube Experiments

- Good experimental data still needed these days
- New experiments may bring more insight. A mix of time-dependent emission and absorption spectroscopy is very promising.
  - CO<sub>2</sub> IR radiative emission
  - CO IR radiative emission
  - probing O(<sup>3</sup>P);O(<sup>1</sup>D) from the 130nm O transition. Is this possible?
  - probing O<sub>2</sub> from Schumann-Runge transition
- Dissociation and incubation times





- Complementary to shock tubes, microwave plasmas and plasma torches can also contribute
- In addition to previous diagnostics:
  - CO<sub>2</sub> Chemiluminescence bands
  - Raman spectroscopy (?)
- Recombination experiments
- Relative high-T and steady state

